

IN THE SPECIFICATION:

On Page 1, at Line 1, please insert the following new paragraph:

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CROSS-REFERENCE TO RELATED APPLICATION

*A1* This application claims priority to U.S. Provisional Application Serial No. 60/258,144 filed on December 22, 2000 by Richard L. Schwartz et al. and entitled "'Method and System For Facilitating Virtual Mediation in a Voice-Data Environment."

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REMARKS

Applicants respectfully request the Examiner to enter the above amendment prior to examination of this application. Applicants respectfully submit that the amendment is supported by the executed Declaration and is consistent with the records of the United States Patent and Trademark Office. Applicants further submit that the amendment adds no new matter. For the Examiner's convenience, Applicants attach a copy of the executed Declaration filed April 9, 2001 and a copy of the United States Patent Office Official Filing Receipt mailed on April 19, 2002 evidencing the priority data in the United States Patent and Trademark Office records.

The Commissioner is hereby authorized to charge any fees or credit any overpayment to Deposit Account No. 50-2148 of Baker Botts L.L.P.

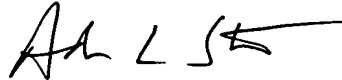
Attached hereto is a marked-up version of the changes made to the specification and claims by the current amendment. The attached pages are captioned "**Version with Markings to Show Changes Made**".

If there are any matters concerning this Application that may be cleared up in a telephone conversation, please contact Applicants' attorney at 512.322.2522.

Respectfully submitted,

BAKER BOTTS L.L.P.

Attorneys for Applicants

A handwritten signature in black ink, appearing to read 'Adam L. Stroud', with a long horizontal line extending to the right.

Adam L. Stroud

Reg. No. 48,410

98 San Jacinto Blvd.  
Suite 1500  
Austin, Texas 78701  
512.322.2522  
512.322.2501 (fax)

Date: 8/16/2002

**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

**IN THE SPECIFICATION:**

On Page 1, at Line 1, please insert the following new paragraph:

**--CROSS-REFERENCE TO RELATED APPLICATION**

**This application claims priority to U.S. Provisional Application Serial No. 60/258,144 filed on December 22, 2000 by Richard L. Schwartz et al. and entitled "Method and System For Facilitating Virtual Mediation in a Voice-Data Environment."--**



PATENT APPLICATION  
Atty. Docket No. SMIO.0100002

**RULES 63 AND 67 (37 C.F.R. 1.63 and 1.67)  
DECLARATION AND POWER OF ATTORNEY**

**FOR UTILITY/DESIGN/CIP/PCT NATIONAL APPLICATIONS**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;  
and

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **METHOD AND SYSTEM FOR FACILITATING MEDIATED COMMUNICATION**, the specification of which: (mark only one)

- X   (a) is attached hereto.  
\_\_\_\_\_ (b) was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable)  
\_\_\_\_\_ (c) was filed as PCT International Application No. PCT/ \_\_\_\_\_ on \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).  
\_\_\_\_\_ (d) was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was issued a Notice of Allowance on \_\_\_\_\_.  
\_\_\_\_\_ (e) was filed on \_\_\_\_\_ and bearing attorney docket number \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above or as allowed as indicated above.

I acknowledge the duty to disclose all information known to me to be material to the patentability of this application as defined in 37 CFR § 1.56. If this is a continuation-in-part (CIP) application, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability of the application as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this CIP application.

I hereby claim foreign priority benefits under 35 U.S.C. § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below

PATENT APPLICATION  
Atty. Docket No. SMIO.0100002

any foreign application for patent or inventor's certificate filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which my priority is claimed or, (2) if no priority is claimed, before the filing date of this application:

<u>PRIOR FOREIGN PATENTS</u>					
<u>Number</u>	<u>Country</u>	<u>Month/Day/Year Filed</u>	<u>Date first laid-open or Published</u>	<u>Date patented or Granted</u>	<u>Priority Claimed</u> <u>Yes</u> <u>No</u>

I hereby claim the benefit under 35 U.S.C. § 120/365 of any United States application(s) listed below and PCT international applications listed above or below:

PRIOR U.S. OR PCT APPLICATIONS

<u>Application No. (series code/serial no.)</u>	<u>Month/Day/Year Filed</u>	<u>Status(pending, abandoned, patented)</u>
60/258,144	12-22-2000 _____	Pending _____

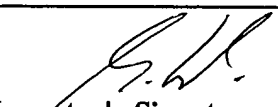
I hereby appoint J. Gustav Larson, Reg. No. 39,263, and Raymond M. Galasso, Reg. No. 37,832 as my attorney and/or agents, with full power of substitution and revocation, to prosecute this application, provisionals thereof, continuations, continuations-in-part, divisionals, appeals, reissues, substitutions, and extensions thereof and to transact all business in the United States Patent and Trademark Office connected therewith, to appoint any individuals under an associate power of attorney and to file and prosecute any international patent application filed thereon before any international authorities, and I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/ firm/organization who/which first sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct them in writing to the contrary.

Please address all correspondence and direct all telephone calls to:

J. Gustav Larson  
Simon, Galasso & Frantz, PLC  
P.O. Box 26503  
Austin, Texas 78755-0503  
Telephone: (512) 372-8240  
Facsimile: (512) 372-8247

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**NAMED INVENTOR(S)**

1	Richard L. Schwartz		7/7/2001
	<b>Full Name</b> <b>Inventor's Signature</b> <b>Date</b>		
	4136 Westlake Drive Austin, Texas 78746		
	<b>Residence</b> (city, state, country) <b>Citizenship</b> USA Same as Residence Address <b>Post Office Address</b> (include zip code)		
2	Stuart Evans		4/4/2001
	<b>Full Name</b> <b>Inventor's Signature</b> <b>Date</b>		
	Barn 3 Upper Stanway Barns RushBury Shropshire SY67EF UK		
	<b>Residence</b> (city, state, country) <b>Citizenship</b> British Same as Residence Address <b>Post Office Address</b> (include zip code)		

(FOR ADDITIONAL INVENTORS, check here \_\_\_\_ and add additional sheet for inventor information regarding signature, name, date, citizenship, residence and address)



AUG 16 2002

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UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/829,538	04/09/2001	2153	570	SMIO.0100002	19	35	5

27412  
SIMON, GALASSO & FRANTZ PLC  
P.O. BOX 26503  
AUSTIN, TX 78755-0503

CONFIRMATION NO. 8449

**CORRECTED FILING RECEIPT**



\*OC000000007918408\*

Date Mailed: 04/19/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Richard L. Schwartz, Austin, TX;  
Stuart Evans, RushBury, UNITED KINGDOM;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/258,144 12/22/2000

**Foreign Applications**

If Required, Foreign Filing License Granted 05/14/2001

Projected Publication Date: 08/01/2002

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

**Title**

Method and system for facilitating mediated communication

**Preliminary Class**

709

<b>DOCKETED</b>
Date: <u>5-8-02</u>
By: <u>Ilm</u>

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SIMON GALASSO  
APR 29 2002  
& FRANTZ PLC



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Cod , Section 184  
Title 37, Cod of Fed ral Regulations, 5.11 & 5.15**

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**GRANTED**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).